

JC14 Rec'd PCT/PTO 5 APR 2002

Attorney Docket TLSIP-001
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Simon
Serial No: 09/857,136
Filing Date: September 10, 2001
Title: Improved Signaling System for Telecommunications

REQUEST FOR REFUND

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Attached hereto is a copy of Monthly Statement of Deposit Account indicating a charge of \$295.00 for IPEA/US on October 15, 2001. A preliminary amendment was filed with this application canceling claims 9 - 15, a copy of which is enclosed. This preliminary amendment brings the claims into the position of satisfying the provisions of PCT Article 33(1)-(4). Thus the extra charge is not required.

Please issue a credit of \$295.00 due our firm and deposit it to our Deposit Account 15-0610.

Respectfully submitted,

OPPEDAHL & LARSON LLP

Carl Oppedahl

Carl Oppedahl
Reg. No. 32,746
P.O. Box 5068
Dillon, CO 80435-5068
970-468-6600

Cert. under 37 CFR 1.8
This paper and the attachments named
herein are being deposited with the
United States Postal Service with
sufficient postage as first class mail
and addressed to Assistant Commissioner
for Patents, Washington, D.C. 20231 on

April 11, 2002
S. Howard S. Howard
Name Sign

OFFICE OF THE
REGISTERED MAIL
UNIT
2002 APR 25 PM 3:20
US PATENT & TRADEMARK
OFFICE

Adjustment date: 09/24/2002 WCLAYBRO 09857136
10/15/2001 UEDUV136 150610 09857136
01 FC 957 295.00 CR -50.00 OP

Repln. Ref: 09/24/2002 WCLAYBRO 0014090000
DA# 150610 Name/Number: 09857136 \$50.00 CR
FC: 704



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Page**Deposit Account Statement**

Requested Statement Month: October 2001
Deposit Account Number: 150610
Name: OPPEDAHN & LARSON LLP
Attention:
Address: P.O. BOX 5068
City: DILLON
State: CO
Zip: 80435-5068

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/03	4	09954337	FREI.P021-2	104	\$270.00	\$5,293.00
10/03	56	09890829	MSK.P-041	957	\$295.00	\$4,998.00
10/04	5	09635749	GOTEP010CP	102	\$4.00	\$4,994.00
10/04	42	09967726		704	-\$44.00	\$5,038.00
10/04	141	09678357	SMAR.P001	217	\$15.00	\$5,023.00
10/05	127	09715299		218	\$25.00	\$4,998.00
10/09	62	09550467		704	-\$55.00	\$5,053.00
10/09	63	60293627		704	-\$50.00	\$5,103.00
10/09	64	60293627		704	-\$125.00	\$5,228.00
10/10	21	60293627	USAR.P-17PV	114	\$125.00	\$5,103.00
10/10	23	60293627	USAR.P-17PV	127	\$50.00	\$5,053.00
10/11	104	09121493	41-244-3	217	\$15.00	\$5,038.00
10/12	1	09308079	FREIP016USNP	215	-\$55.00	\$5,093.00
10/15	20	09241684	FREIP034US	581	\$40.00	\$5,053.00
10/15	784	09857136	TLSI.P-001	957	\$295.00	\$4,758.00
10/31	139	487424		567	\$30.00	\$4,728.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$5,563.00	\$1,164.00	\$329.00	\$4,728.00

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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

TSLI.P-001

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/US99/26894INTERNATIONAL FILING DATE
12 November 1999PRIORITY DATE CLAIMED
01 December 1998

TITLE OF INVENTION

Improved Signaling System for Telecommunications

APPLICANT(S) FOR DO/EO/US

Simon, Thor

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

EL556130985US

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/US99/26894

ATTORNEY'S DOCKET NUMBER

TISI-P-001

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE** (37 CFR 1.492 (a) (1) - (5)):Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1000.00International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS PTO USE ONLY

\$ 100

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	8 - 20 =	0	x \$18.00	\$
Independent claims	1 - 3 =	0	x \$80.00	\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00 \$

TOTAL OF ABOVE CALCULATIONS =

\$ 100

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$ 50

SUBTOTAL =

\$ 50

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).**TOTAL NATIONAL FEE =**

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$ 50

Amount to be
refunded: \$

charged: \$

- a. ☒ A check in the amount of \$ 50 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 15-0610. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**021121**

PATENT TRADEMARK OFFICE

SIGNATURE

Carl Oppedahl

NAME

32,746

REGISTRATION NUMBER

ATTENTION ATTENTION ATTENTION

DATE PROCESSED :

PROCESSED BY: M.G.

☐

ACH PAYMENT

Attached is the most current ACH Information

☐

TREASURY CHECK

The Most Current ACH Information Was
Requested From This Customer With The Purpose Of
Processing His Refund Request By Electronic Fund
Transfer(EFT). No EFT Information Was Received

Therefore, If Customer Is Due A Refund:

A CHECK WILL BE ISSUED.

PCT
National

☒

CREDIT TO DEPOSIT ACCOUNT

09/857,136

This Request for Refund Will Be Processed By Crediting
Deposit Account Number 15-0610 ,
If Customer Is Due A Refund.

ATTENTION ATTENTION ATTENTION

ATTORNEY DOCKET NO. TLSI.P-001
PATENT APPLICATION
June 1, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Simon
Serial No. : TBA
Filing Date : Herewith
Title : Improved Signaling System for Telecommunications

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Box PCT
US Patent and Trademark Office
Washington, D.C. 20231

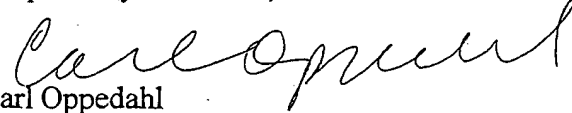
Sir:

Preliminary to the calculation of claim fees and examination of the application filed
herewith, please make the following amendments:

In the claims:

Please cancel claims 9 - 15.

Respectfully submitted,


Carl Oppedahl
Oppedahl & Larson LLP
Reg. No. 32,746
P.O. Box 5068
Dillon, CO 80345-5068
970-468-6600

I hereby certify that this paper and the attachments named herein are being deposited with the United States Postal Service as
Express Mail #EL556130985US in an envelope addressed to Assistant Commissioner of Patents, Box PCT, US. Patent and
Trademark Office, Washington, D.C. 20231 on June 1, 2001.

6/1/01
Date of Signature


Linda L. Orr